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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1**Complete if Known**

Application Number	
Filing Date	10/23/2003
First Named Inventor	Robert R. Rice
Art Unit	N/A
Examiner Name	Unassigned
Attorney Docket Number	000404-804

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)					

Examiner
Signature

/Andrae Allison/

Date
Considered

08/31/2006

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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First Named Inventor	Robert R. Rice
Art Unit	N/A
Examiner Name	Unassigned
Attorney Docket Number	000404-804

Sheet	1	of	1	Attorney Docket Number	000404-804
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Sheet	1	of	2
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Application Number	10/692,999
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Filing Date	10/23/2003
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First Named Inventor	Robert R. Rice
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Art Unit	3736
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Examiner Name

Attorney Docket Number	000404-804
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U. S. PATENT DOCUMENTS

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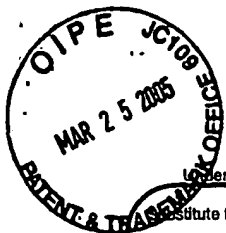
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First Named Inventor Robert R. Rice

Art Unit 3736

Examiner Name

Attorney Docket Number 000404-804

Sheet

2

of

2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
AA		ESSA I A ET AL: "CODING, ANALYSIS, INTERPRETATION, AND RECOGNITION OF FACIAL EXPRESSIONS", IEEE Transactions on Pattern Analysis And Machine Intelligence, IEEE Inc., New York	
AA		Vol. 19, no. 7, July 1997	
AA		TIAN Y-L ET AL: "RECOGNIZING ACTION UNITS FOR FACIAL EXPRESSION ANALYSIS", IEEE Transactions on Pattern Analysis AND Machine Intelligence, IEEE Inc., New York	
		Vol. 23, no. 2, 1, February 2001	

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